

# Effect of cross section uncertainties on extraction of supernova neutrino information in DUNE

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Snowmass NF06 Low Energy Neutrino and  
Electron Scattering Workshop

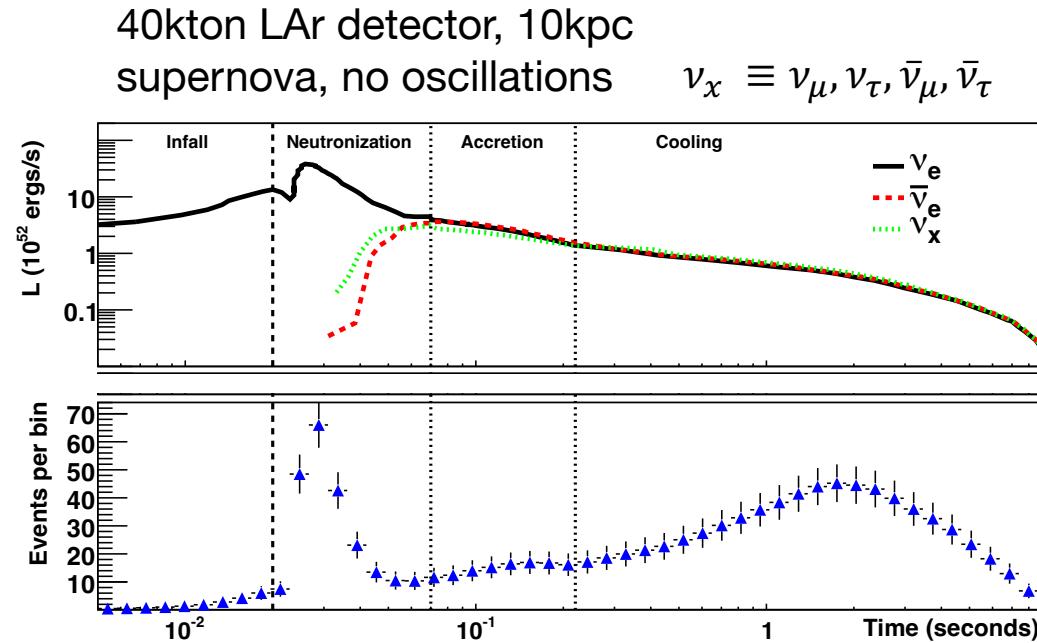
November 12, 2021

# Outline

- Introduction
  - The Deep Underground Neutrino Experiment (DUNE)
  - Supernova neutrinos
- Modeling supernova neutrinos in DUNE
  - SNOwGLoBES
  - Pinched-thermal flux model
  - MARLEY
- Parameter fitting algorithm
  - Study assumptions
  - Studying  $\nu_e$ - $^{40}\text{Ar}$  cross section models
- Takeaways

# Core-collapse supernova neutrinos

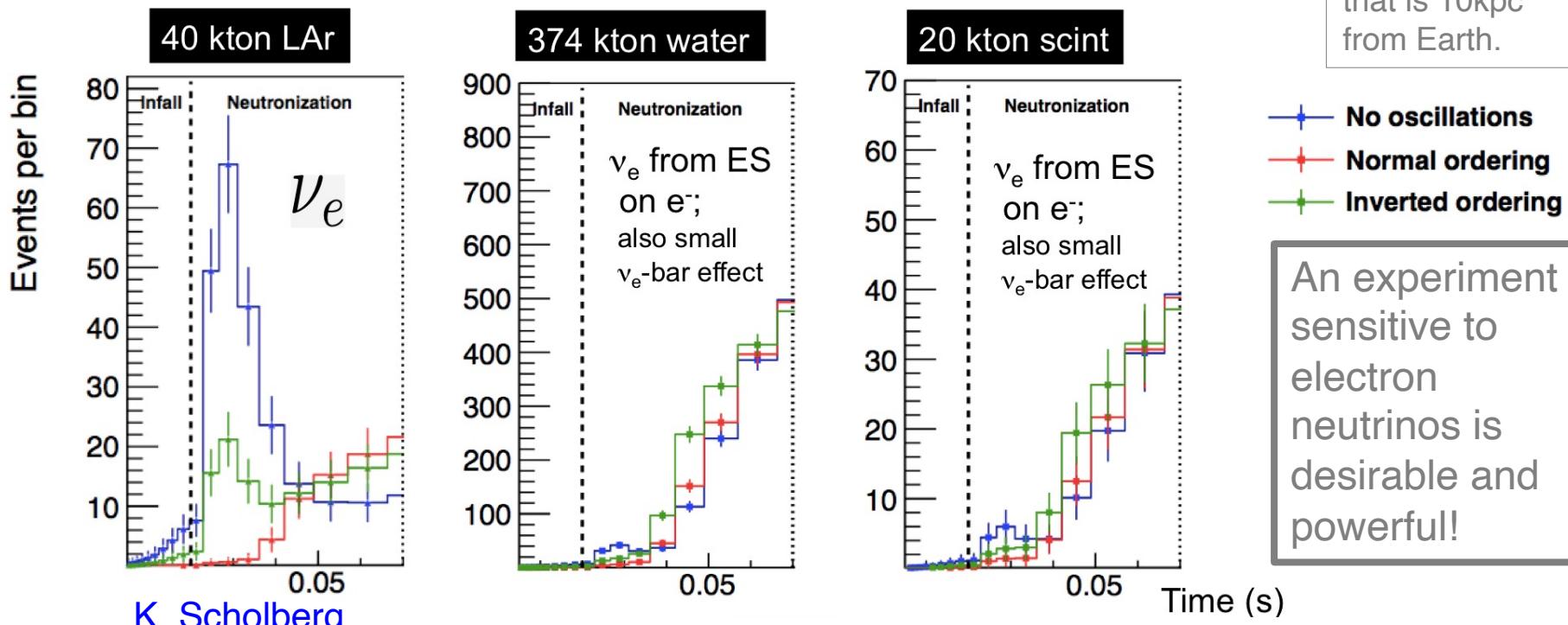
- Massive star at end of lifetime: core undergoes gravitational compression and collapses until halted by neutron degeneracy; shock wave propagates outward and expels stellar material
- Neutrino burst contains valuable information about both the mechanism and phenomena associated with supernova bursts



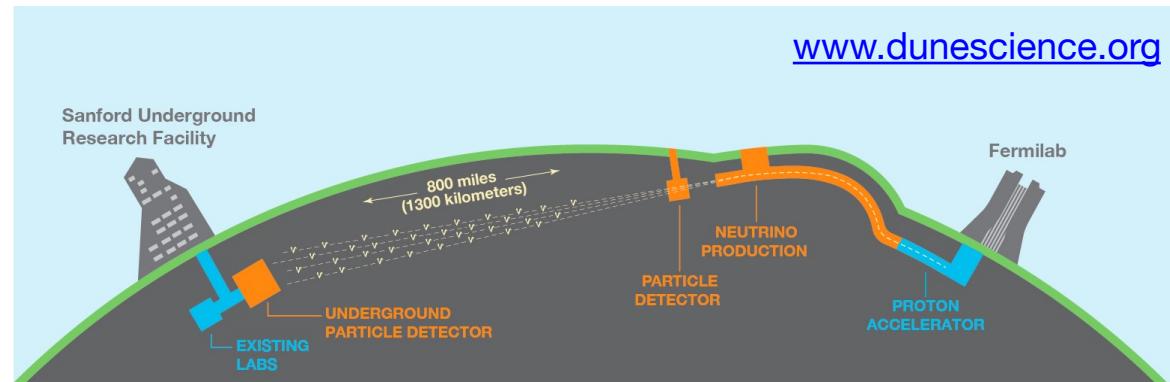
99% of potential energy from core-collapse supernova released in the form of neutrinos (tens of MeV) in a prompt burst lasting several seconds

# Motivation to detect the $\nu_e$ signal

Example of robust mass ordering signature: **the neutronization burst**

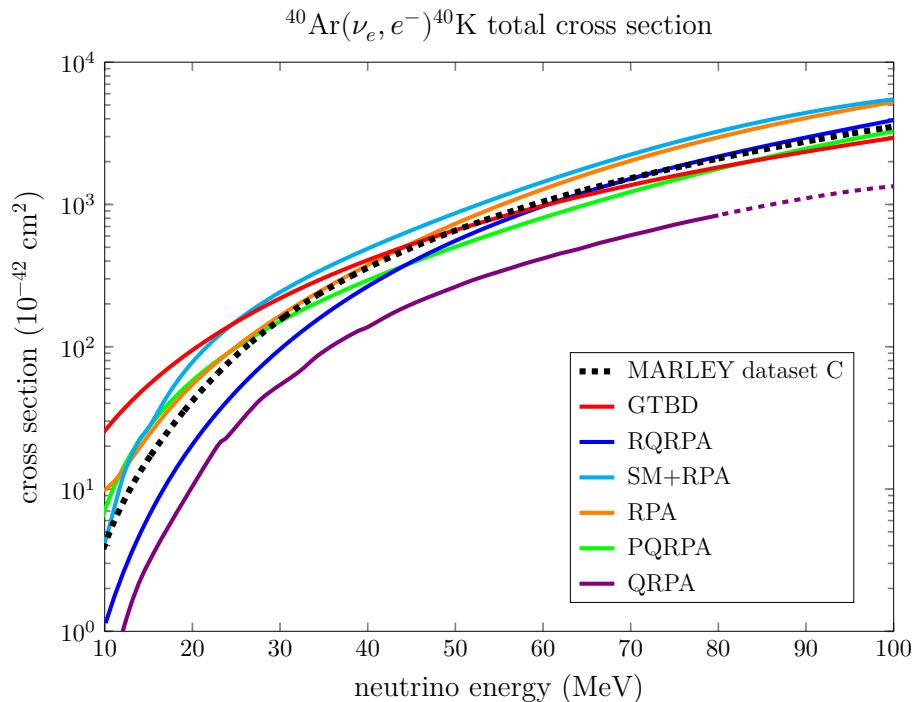


- International experiment for neutrino science: Neutrino oscillation physics, **supernova physics**, nucleon decay
- Two detectors:
  - Near detector on-site at Fermilab
  - Far detector at Sanford Underground Research Facility (SURF) in South Dakota
- Far detector: world's largest liquid argon time-projection chamber (40 kton fiducial mass)
  - Ionization electrons drift due to high-voltage electric field
  - Parallel wire planes create 3D images of particle tracks



# Detecting SN electron neutrinos

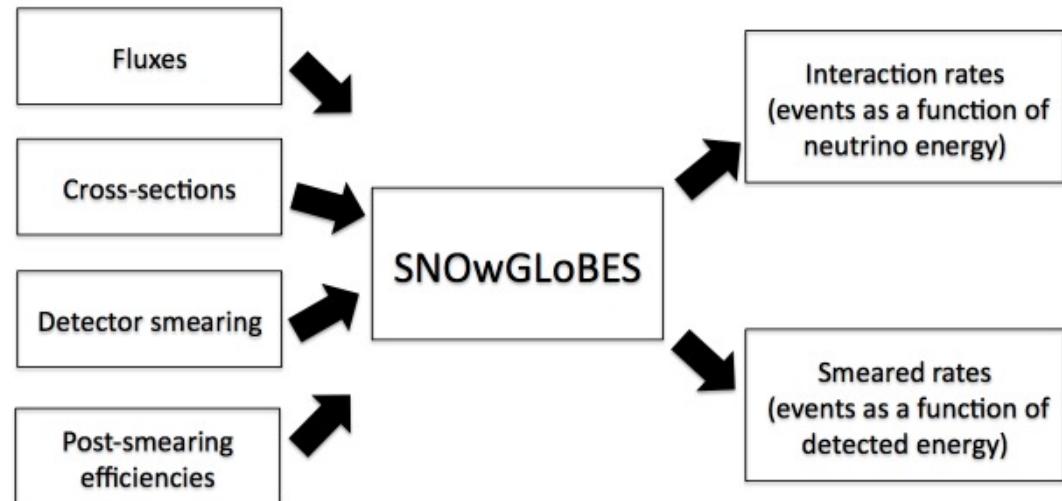
- Charged current interaction ( $\nu_e$ CC):  $\nu_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{K}^* + e^-$
- Low-energy neutrino-argon cross sections contain loosely constrained uncertainties; models cover wide range of phase space
- Incorrect assumptions can introduce biases in SN neutrino measurements



From S. Gardiner's thesis

# Simulating Supernova Neutrino Signals

- SNOwGLoBES:  
SuperNova  
Observatories with  
GLoBES
- Open source event  
rate calculation tool
  - Simple folding with  
generalized detector  
response

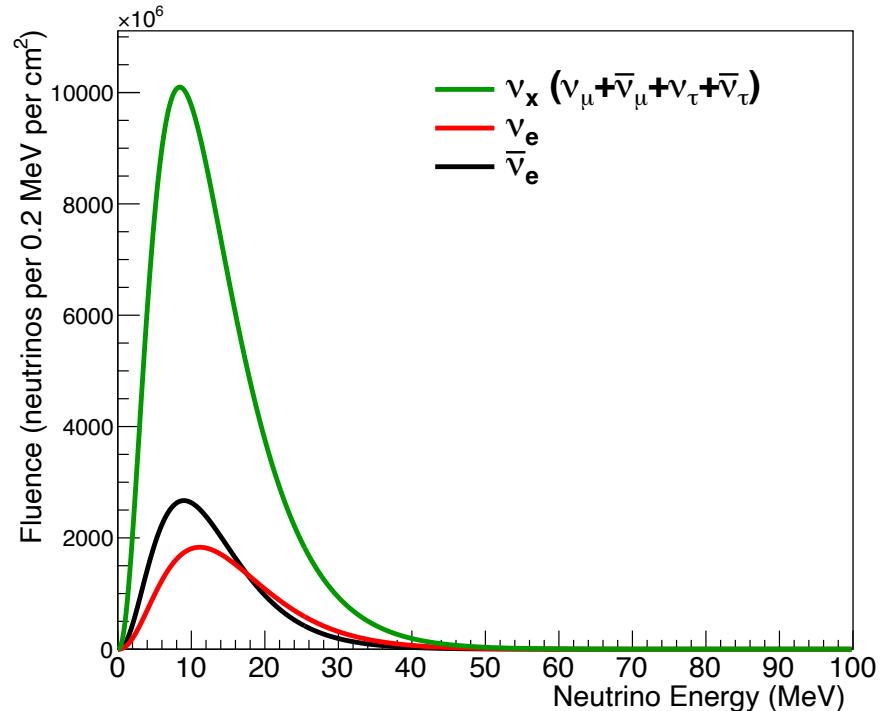


<http://phy.duke.edu/~schol/snowglobes/>

GLoBES: General Long Baseline Experiment Simulator

# Supernova Flux Model

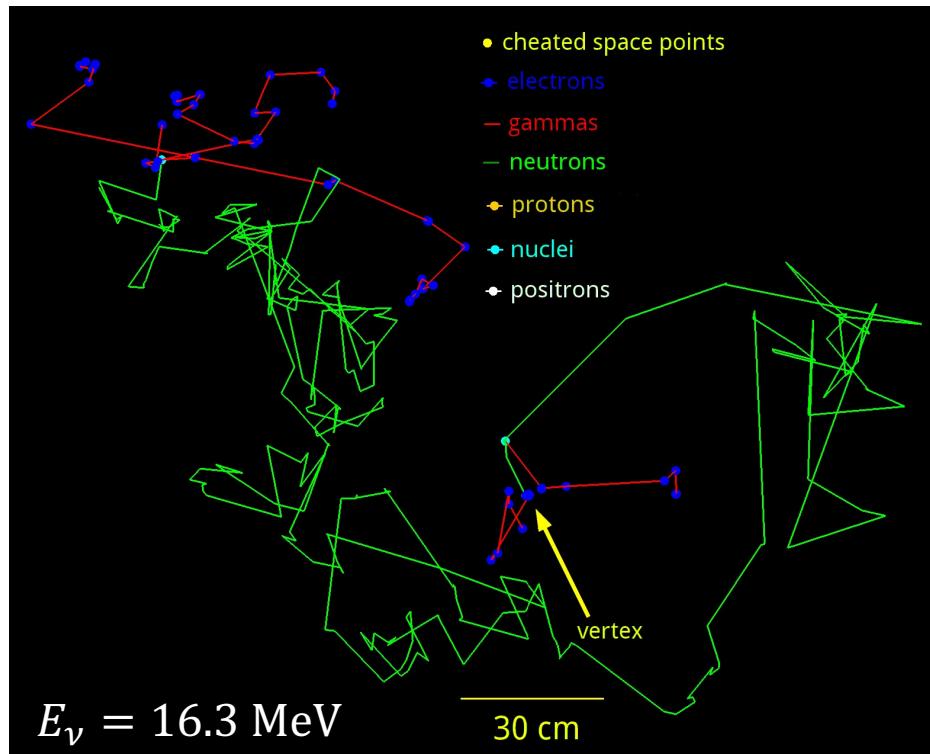
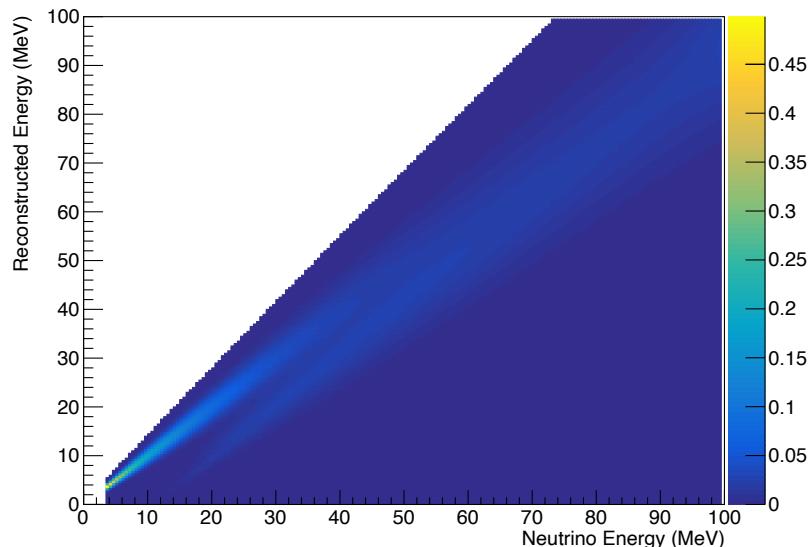
- Supernova neutrino spectrum AKA “pinched-thermal form”:
$$\phi(E_\nu) = \mathcal{N} \left( \frac{E_\nu}{\langle E_\nu \rangle} \right)^\alpha \exp \left[ -(\alpha + 1) \frac{E_\nu}{\langle E_\nu \rangle} \right]$$
  - $E_\nu$ : Neutrino energy (MeV)
  - $\mathcal{N}$ : Normalization constant (related to luminosity,  $\varepsilon$ , in ergs)
  - $\langle E_\nu \rangle$ : Mean neutrino energy (MeV)
  - $\alpha$ : Pinching parameter; large  $\alpha$  corresponds to more pinched spectrum (unitless)
- Parameters of interest:  $\varepsilon$ ,  $\langle E_\nu \rangle$ ,  $\alpha$ 
  - $\varepsilon$  physical parameter of interest to theorists



Pinched-thermal for a 10kpc supernova (K. Scholberg)  
Note: Fluence refers to a time-integrated flux.

# MARLEY: Model of Argon Reaction Low-Energy Yields

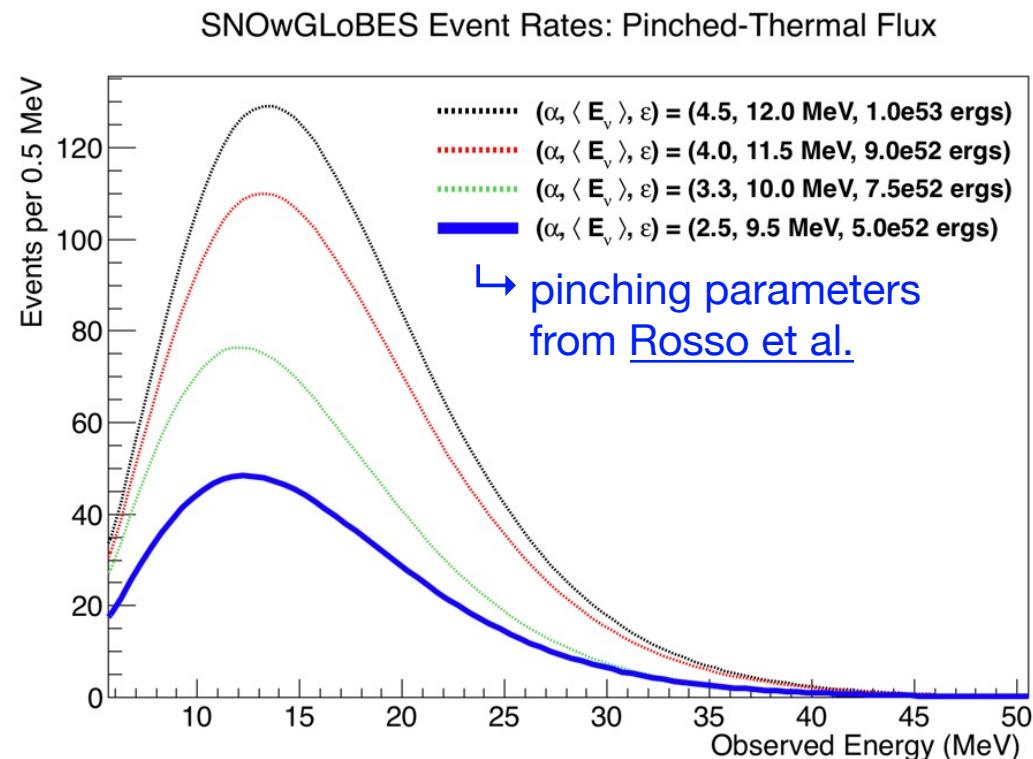
- MARLEY models low-energy  $\nu_e$  CC neutrino interactions
- More sophisticated modeling of final state particles



S. Gardiner (<http://www.marleygen.org/>)

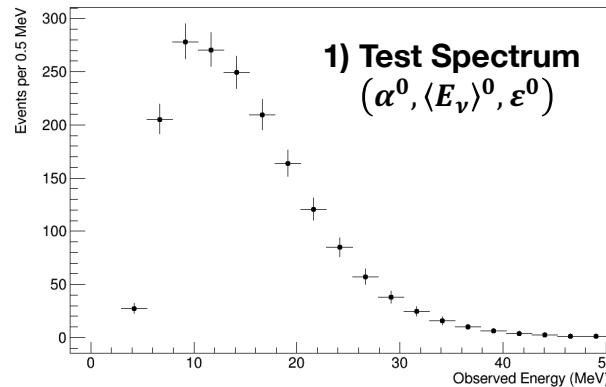
# Measuring the Flux Parameters

- Use pinched-thermal flux with pinching parameters  $(\alpha, \langle E_\nu \rangle, \varepsilon)$  + cross section + interaction modeling to simulate event rates in DUNE detector
- Flux parameters play significant role in  $\nu_e$  event rates (shape, statistics, etc.)
- Develop algorithm to measure, constrain flux pinching parameters based on SNOwGLoBES event rates

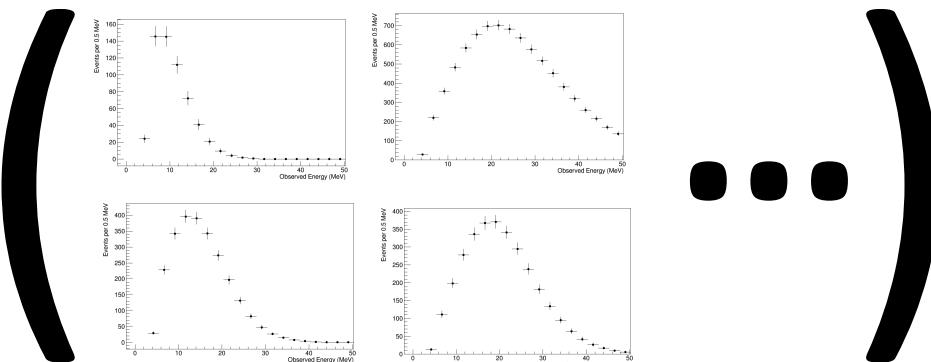


# Parameter Fitting Algorithm

- Algorithm uses the following tools:
  - “Test spectrum” with given set of pinching parameters  $(\alpha^0, \langle E_\nu \rangle^0, \varepsilon^0)$
  - Grid of energy spectra containing combinations of  $(\alpha, \langle E_\nu \rangle, \varepsilon)$
- Generate spectra with cross section model, interaction modeling, efficiencies (not necessarily the same!)
- Compute  $\chi^2$  value between test spectrum and all grid spectra; determine best-fit grid element, “sensitivity regions” that constrain parameters



2) Grid with many different combinations of  $(\alpha, \langle E_\nu \rangle, \varepsilon)$



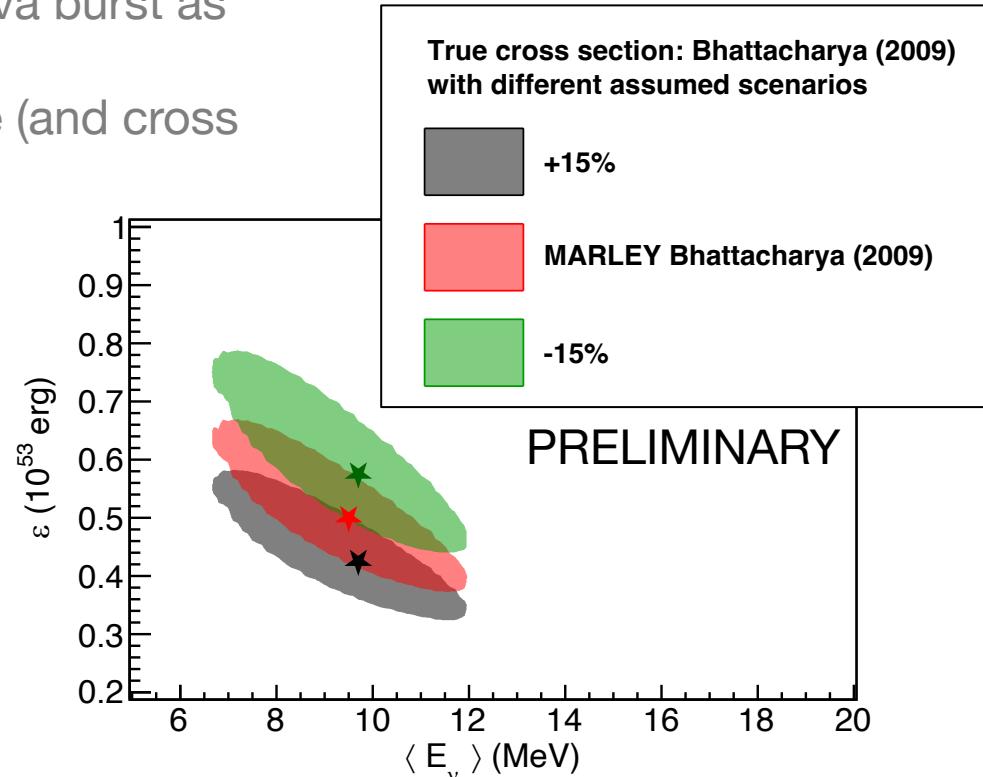
# Studying Biases due to Incorrect Detector Assumptions

**Test spectrum:** data from supernova burst as observed by DUNE

**Grids:** DUNE detector performance (and cross section) assumptions

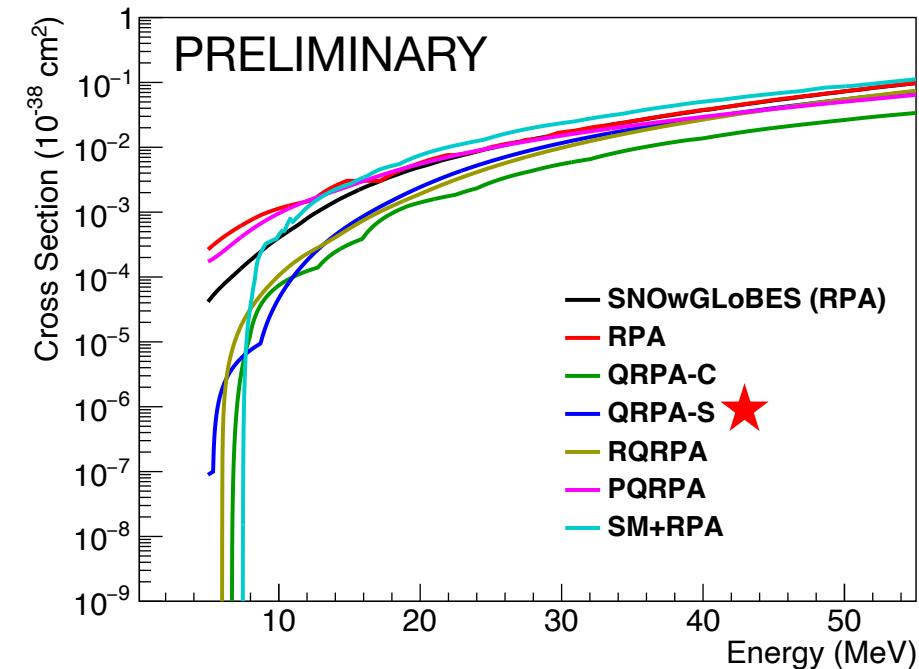
- Change assumptions for test spectrum, and for grids, to study effect of mismatched assumptions about  $\nu_e$ - $^{40}\text{Ar}$  cross section
  - Study amount of parameter phase space enclosed in sensitivity regions
  - Study parameter biases introduced by incorrect assumptions using fractional difference from truth:

$$\text{Frac. Diff.} = \frac{x - x^0}{x^0}$$

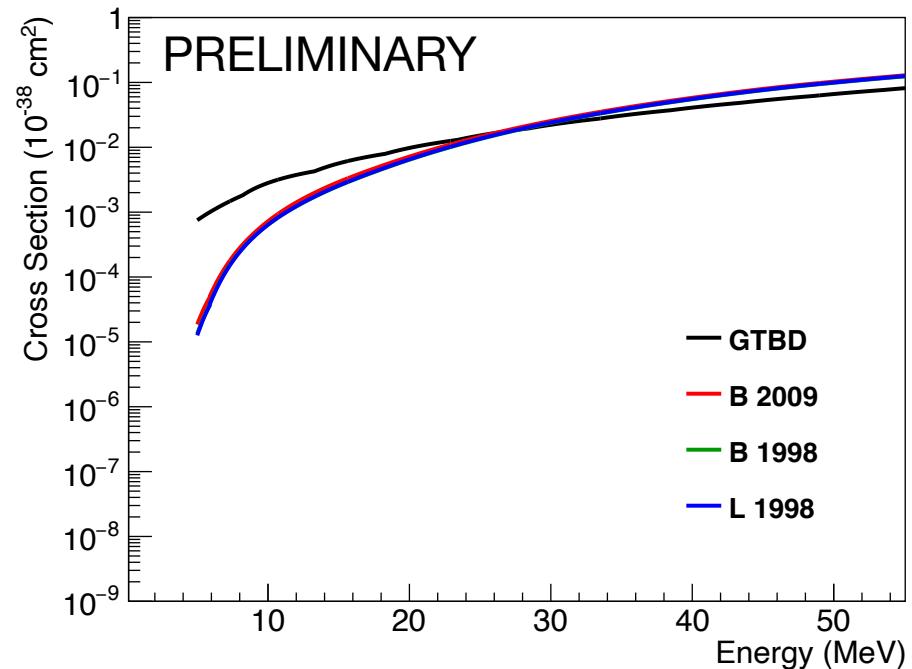


# $\nu_e$ CC cross section calculations

Cross Section Models: RPA Calculations

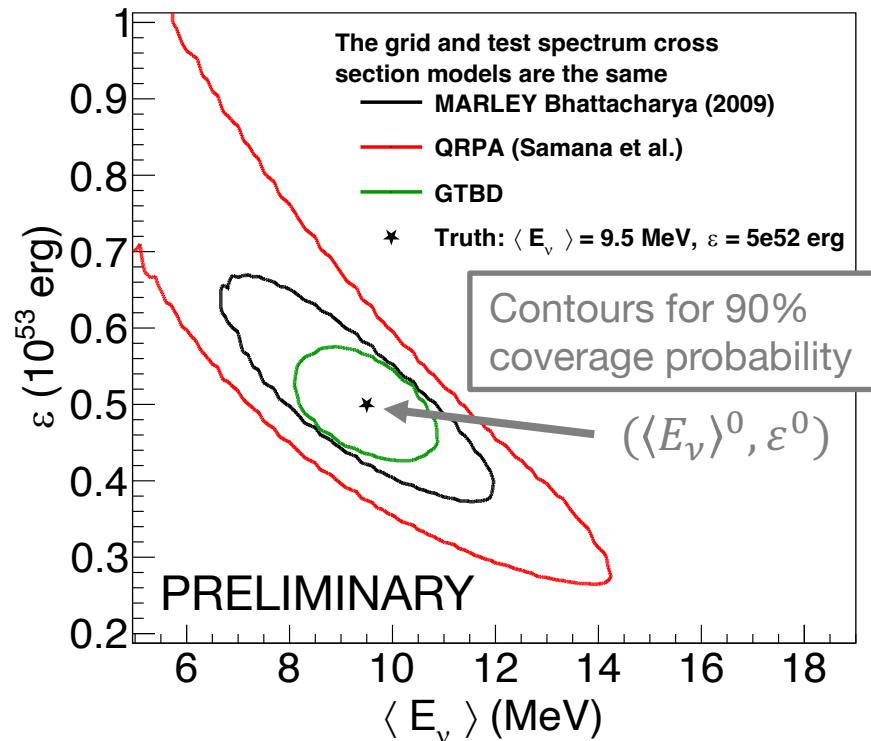
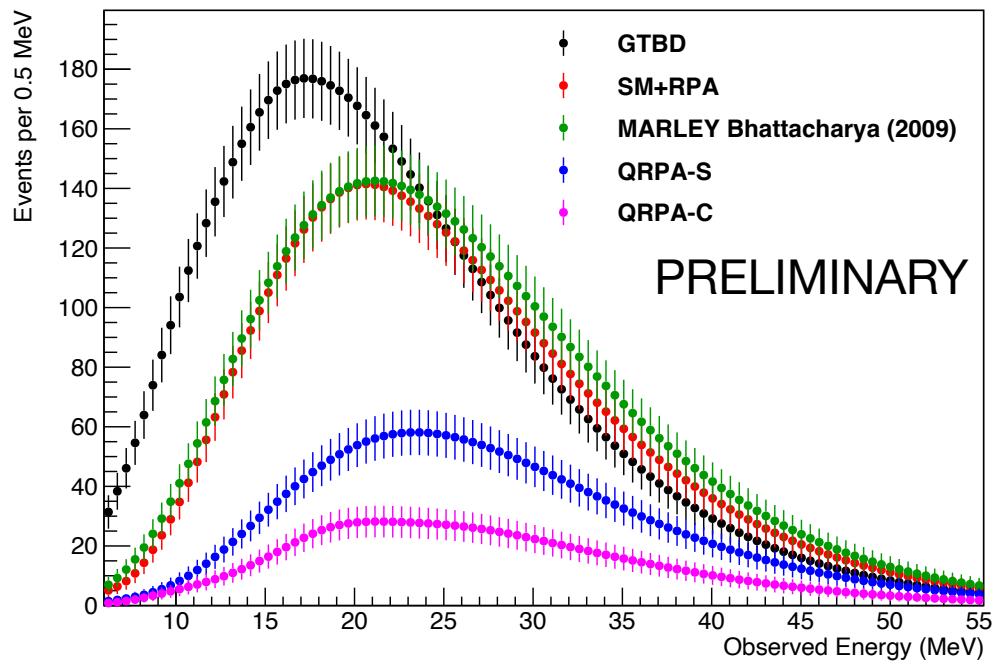


Cross Section Models: MARLEY AND GTBD Calculations

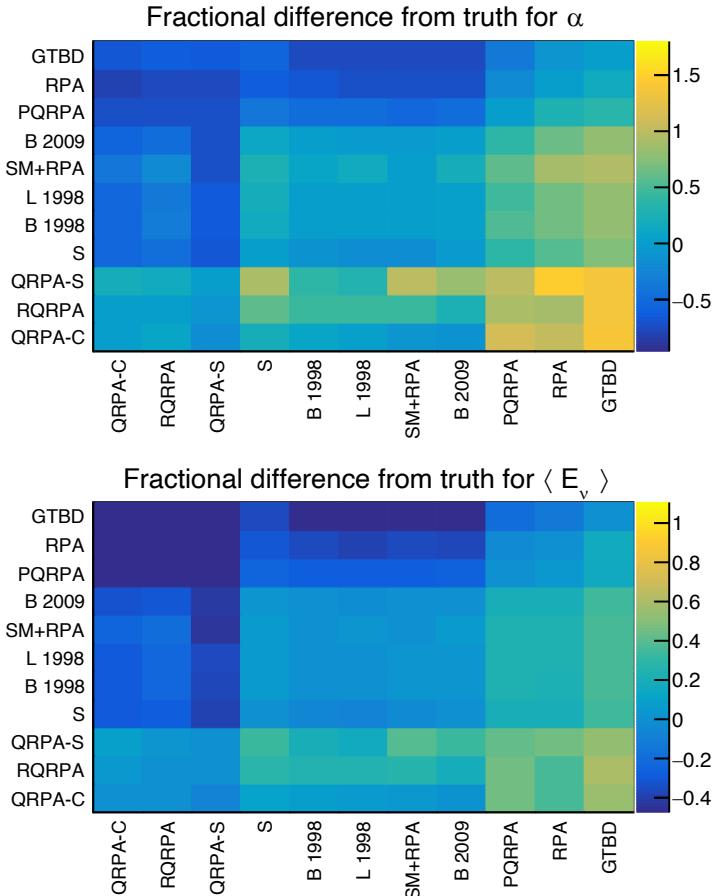


# Studying $\nu_e$ - $^{40}\text{Ar}$ Cross Section Models

Test spectra for different cross section calculations



# True Cross Section Model

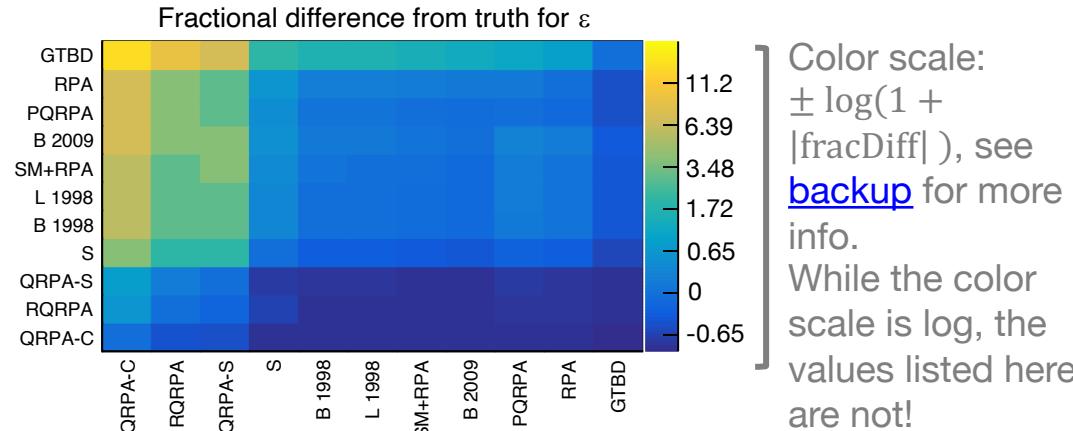


\*\*PRELIMINARY\*\*

Assumed Cross Section Model

Studying fractional difference for incorrect assumptions about cross section models:

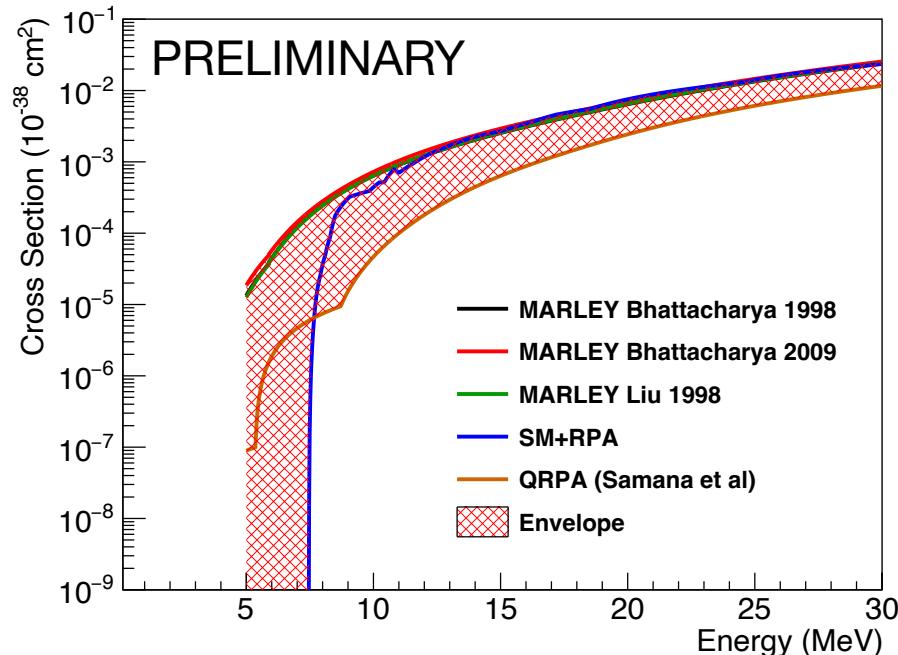
- Color scale for  $\alpha$  and  $\langle E_\nu \rangle$  are fractional difference from true parameter value
- $\varepsilon$  range from  $\mathcal{O}(10^{51} \text{ ergs})$  to  $\mathcal{O}(10^{54} \text{ ergs})$ ; biases extend from  $-100\%$  to  $+1400\%$ 
  - Unrealistic range for  $\varepsilon$  chosen to account for wide range in cross section models



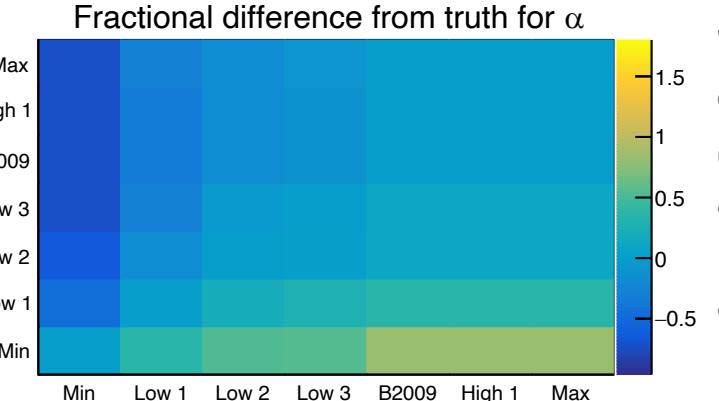
Color scale:  
 $\pm \log(1 + |\text{fracDiff}|)$ , see [backup](#) for more info.  
 While the color scale is log, the values listed here are not!

# Reliability of cross section calculations

- Not all cross section calculations are built equally!
  - RPA is preferred for the high energies (not explicitly defined) of SN  $\nu_e$  according to paper from [Capozzi et al.](#)
  - MARLEY partially data-driven filled in with QRPA, probably most reliable at low energies
  - SM+RPA (hybrid approach with RPA) is considered most theoretically motivated
- Define “uncertainty envelope” using reasonable calculations to constrain  $\varepsilon$  biases

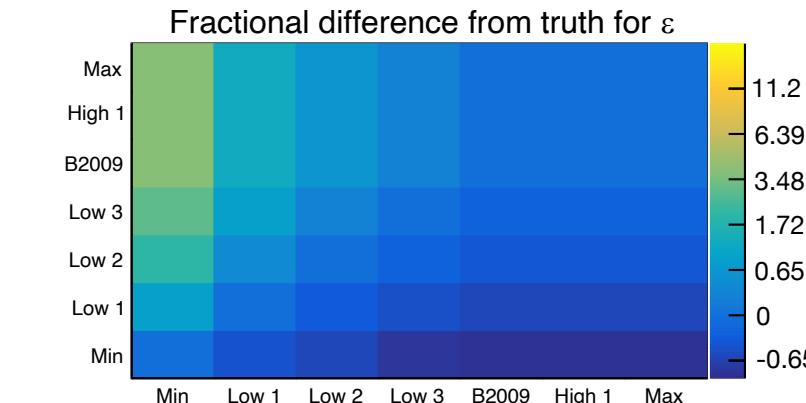
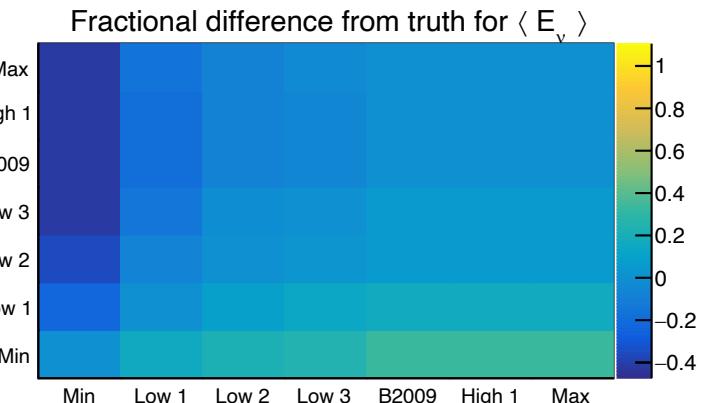


# True Cross Section Model



Studying fractional difference for incorrect assumptions about cross section models (uncertainty envelope):

- Color scale for  $\alpha$  and  $\langle E_\nu \rangle$  are fractional difference from true parameter value;  $\varepsilon$  is a log-like scale
- $\varepsilon$  biases now extend from  $-86\%$  to  $+400\%$ 
  - Previously:  $-100\%$  to  $+1400\%$



While the color scale is log, the values listed here are not!

\*\*PRELIMINARY\*\*

Assumed Cross Section Model

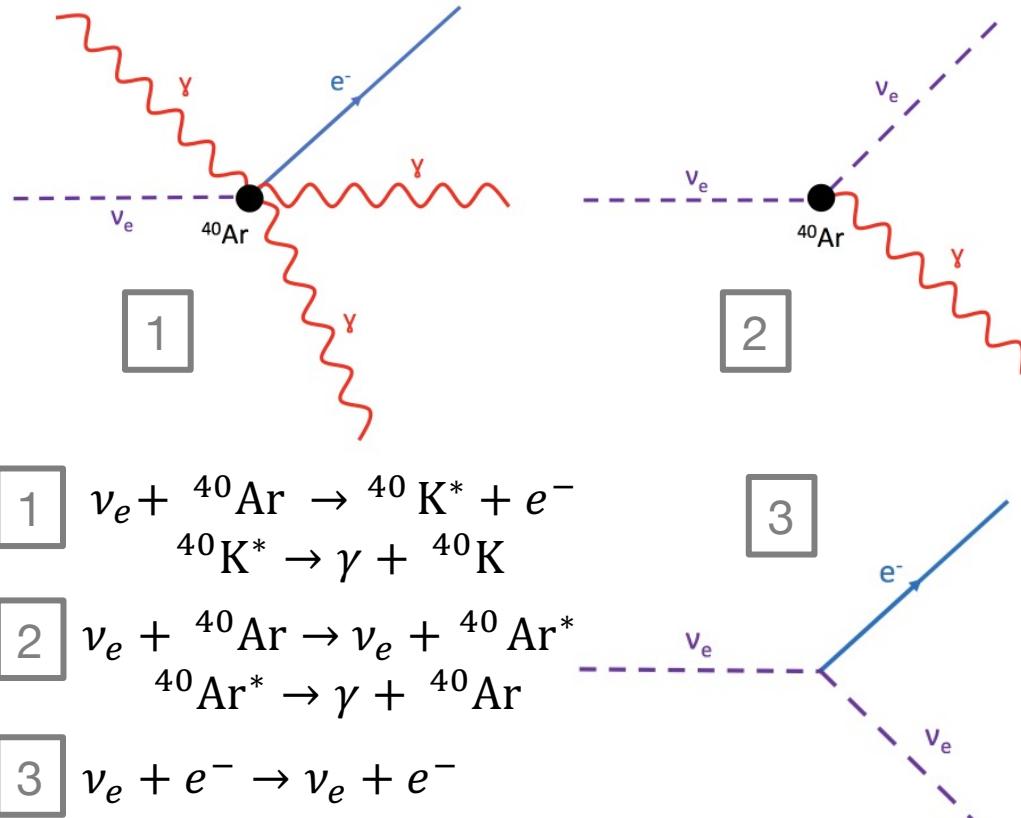
# Takeaways

- $\nu_e$ CC cross section model greatly influences DUNE measurements of supernova flux
  - We need better information about the cross section – a measurement would be very useful!
- Study biases introduced by diverse set of cross section models; combination of most extreme cross section models yield most extreme biases, although those models might not be reliable at SN neutrino energies
- Paper in progress – stay tuned!

# Backup Slides

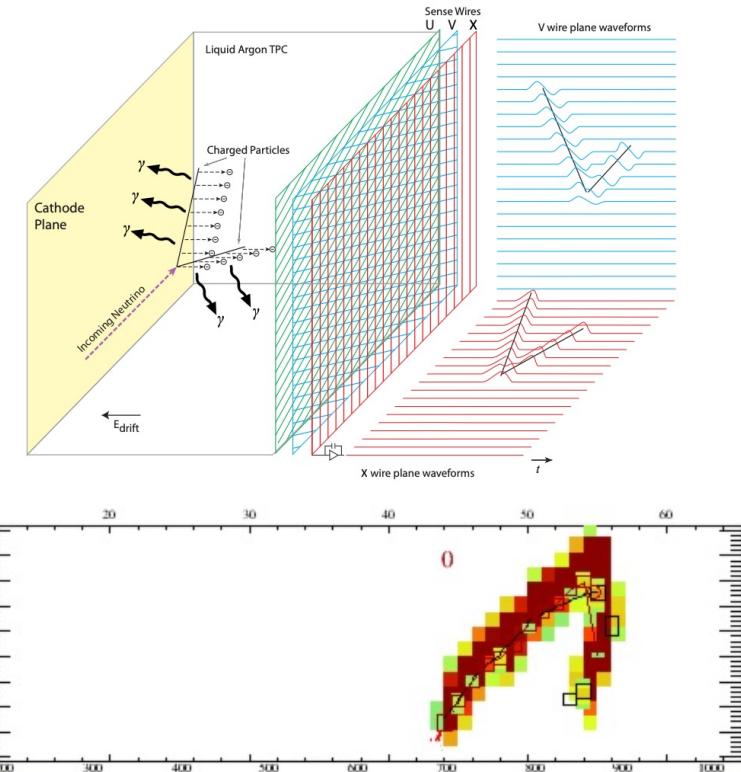
# Supernova Neutrinos + Their Interactions

- Neutrinos carry 99% of core collapse energy
- Electron neutrinos interact with particles in detector:
  - CC: Argon nuclei, electrons (ionization + bremsstrahlung), de-excitation gammas
  - NC: Argon nuclei, 9.8 MeV de-excitation gammas
  - Elastic scatter on electrons: electrons (ionization + bremsstrahlung)



# Supernova Neutrinos in DUNE

- Expect ~3000 neutrino interaction events in DUNE detector for a 10 kpc supernova
- Event display in time (ticks) vs wire number; color scale indicates charge deposition
- Right: electron from 30.25 MeV  $\nu_e$  CC interaction



# Study Assumptions

- Pure pinched-thermal  $\nu_e$  flux
- 10 kpc supernova with no distance uncertainty
- Event rates integrated over 10 seconds
- Pure  $\nu_e$ CC signal (i.e., channel tagging capability)
- $\nu_e$  flavor only; normal mass ordering assumed
  - “True”  $\nu_e$  flux parameters:  $(\alpha, \langle E_\nu \rangle, \varepsilon) = (2.5, 9.5 \text{ MeV}, 5 \times 10^{52} \text{ ergs})$  from [Rosso et al.](#)

# SNOwGLoBES flux calculation

- SNOwGLoBES calculates fluxes from  $(\alpha, \langle E_\nu \rangle, \varepsilon)$  using:

$$F(E_\nu) = \frac{1}{4\pi d^2} \frac{\varepsilon}{\langle E_\nu \rangle} \phi(E_\nu, \langle E_\nu \rangle, \alpha) \times (\text{binning factor})$$

- $\varepsilon$  converted from erg/sec to GeV/sec
- Here,  $\phi(E_\nu, \langle E_\nu \rangle, \alpha)$  is defined as

$$\phi(E_\nu, \langle E_\nu \rangle, \alpha) = N \left( \frac{E_\nu}{\langle E_\nu \rangle} \right)^\alpha \exp \left[ -(\alpha + 1) \frac{E_\nu}{\langle E_\nu \rangle} \right]$$

where  $N$  is defined as

$$N = \frac{(\alpha + 1)^{\alpha+1}}{\langle E_\nu \rangle \alpha!}$$

# SNOwGLoBES fluence calculation

- In order to get the integrated flux (fluence), you must integrate  $\phi(E_\nu, \langle E_\nu \rangle, \alpha)$  over  $E_\nu$ 
  - $\mathcal{N}$  linearly related to  $\varepsilon$ , no dependence on  $\alpha$  or  $\langle E_\nu \rangle$
- Fluence from [Rosso et al.](#):

$$F_i^0(E_\nu) = \frac{\varepsilon_i}{4\pi d^2} \frac{E_\nu^{\alpha_i} \exp[-E_\nu/T_i]}{T_i^{\alpha_i+2} \Gamma(\alpha_i + 2)}$$

- $T_i = \langle E_i \rangle / (\alpha_i + 1)$  is the “temperature”

# RPA References

- RPA (SNOwGLoBES): random phase approximation
  - Note that RPA and SNOwGLoBES are different papers by the same authors
  - QRPA: quasiparticle RPA
    - RQRPA: relativistic QRPA
    - PQRPA: projected QRPA (the xscn is unpublished; the paper outlines the computer code)
- SM+RPA: shell model + RPA
  - Cappozi et al. cites a different paper by the same authors

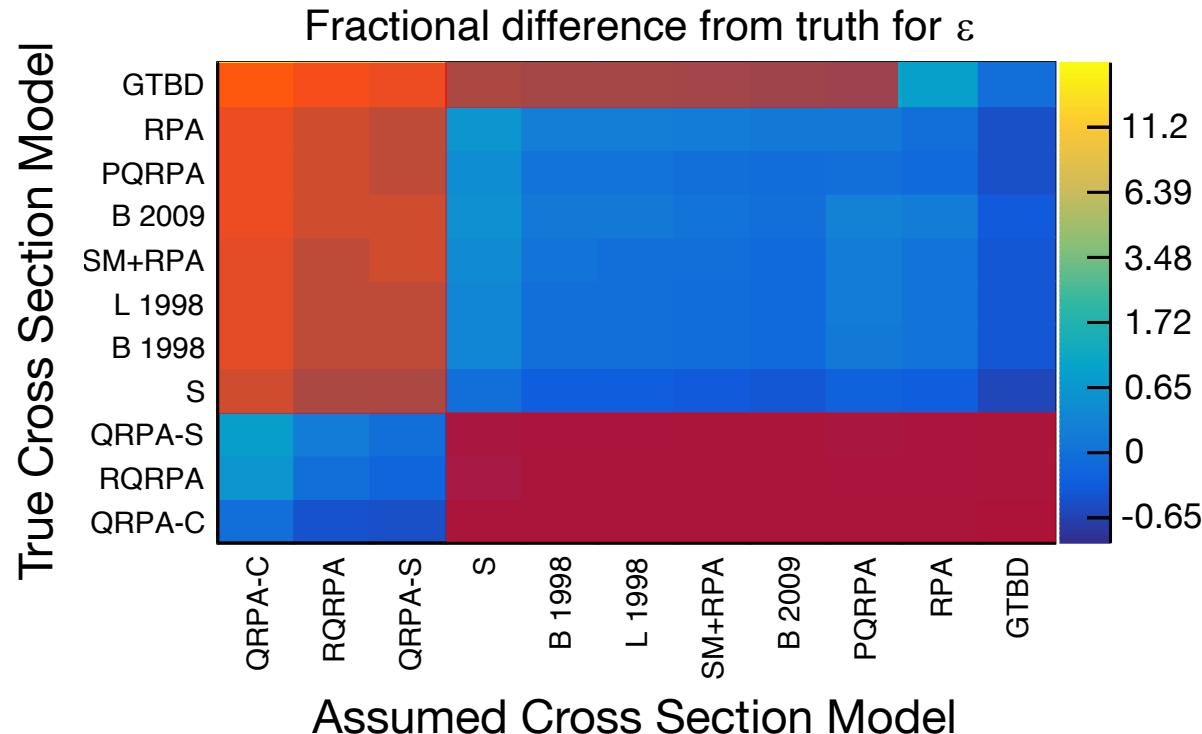
# Other cross section models

- From S Gardiner's thesis and MARLEY:
  - Bhattacharya 1998
  - Liu 1998
  - Bhattacharya 2009
  - ( $p, n$ ) and  $^{40}\text{-Ti}$
- GTBD: gross theory of beta decay

# “Log scale” for $\varepsilon$

- Color scale:  
$$\pm \log(1 + |\text{fracDiff}|)$$
  - Accounts for the wide range of biases
  - Includes scenarios where there is no bias introduced into measurement
- The z-axis numerical values shown in right-hand plot are NOT log! The corresponding log scale here is  $[-1.0, 4.0]$

# Reasonable $\varepsilon$ values (preliminary)



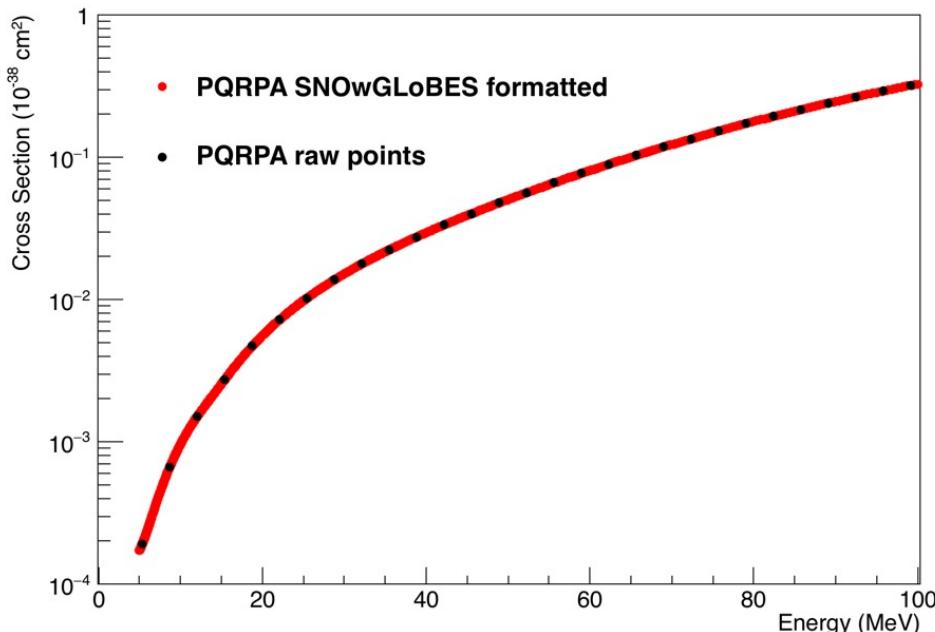
# Formatting for SNOwGLoBES

- Used interpolation, extrapolation to format cross section models for usage in SNOwGLoBES
  - Interpolation using ROOT Eval function (uses TSpline)
  - Quadratic fit for extrapolation:  $\sigma = p_0(E - p_1)^2$ 
    - Remove discontinuities by forcing fit through first data point

# Examples of Extrapolation/Interpolation

## PQRPA Model (Interpolation Only)

Cross Section Model: PQRPA



## RPA Model (Extrapolation Required)

Cross Section Model: RPA

